



36  
4-21-03

Docket No. CF/027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew C. Gilbert  
Application No. : 09/995,698 Confirmation No.: 2615  
Filed : November 29, 2001  
For : SYSTEMS AND METHODS FOR LINKING  
BIDS AND OFFERS IN A TRADING INTERFACE  
Group Art Unit : 3621  
Examiner : Not yet known

New York, New York  
April 8, 2003

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

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GROUP 3600

TRANSMITTAL LETTER FOR  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

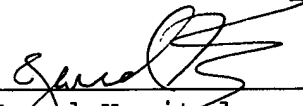
Transmitted herewith is a Supplemental Information  
Disclosure Statement in the above-identified application. This  
Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing  
date but before the mailing date of the first  
Office Action on the merits.

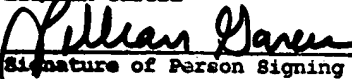
In accordance with 37 C.F.R. § 1.97, submission of this  
Statement requires no fee. However, if for any reason a fee is

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

  
\_\_\_\_\_  
Jared Kneitel  
Registration No. 51,178  
Agent for Applicant  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020  
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ARLINGTON, VA 22202 on

Apr. 18, 2003  
Lillian Garcia  
  
\_\_\_\_\_  
Signature of Person Signing



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicant wishes to call the attention of the Examiner to:

U.S. Patent 6,519,574 Wilton et al. 2/11/2003


A copy of the aforementioned reference, which is listed  
on the accompanying Form PTO-1449 (submitted in duplicate), is  
enclosed herewith.

It is respectfully requested that this reference be:  
(1) fully considered by the Patent and Trademark Office during  
the examination of this application; and (2) printed on any

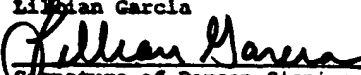
the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

  
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April 8, 2003  
Lillian Garcia  
  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEDOCKET NO.  
CF/027APPLICATION NO.  
09/995,698SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT BY APPLICANTAPPLICANT  
Andrew C. GilbertCONFIRMATION NO.  
2615FILING DATE  
November 29, 2001GROUP  
2161

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,519,574	2/11/2003	Wilton et al.	705	35	12/12/1995

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

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APR 14 2003  
**GROUP 3600**

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

04/08/03 10:21 am  
00741.027 — [NY]939477.1